



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	Gil M. Vardi and Charles J. Davidson
Application No.:	10/050524
Filed:	January 18, 2002
For:	Extendible Stent Apparatus and Method for Deploying the Same
Examiner:	Paul Prebilit
Group Art Unit:	3738

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No: S63.2N-12020-US03

**PETITION FOR CORRECTION OF ASSIGNEE'S NAME ON THE FACE OF THE
ISSUED PATENT (37 CFR § 1.183)**

In addition to the Request for Certificate of Correction filed concurrently herewith, Applicant's Attorney requests that the Assignee's name, Advanced Stent Technologies, LLC be added to the face of issued patent no. US 6,962,602 B2.

Applicant requests that 37 CFR 3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of the patent.

Applicant states that the failure to include the correct assignee name on the PTOL-85B was inadvertent.

Applicant has enclosed a copy of the Notice of Recordation of Assignment Document.

A check in the amount of \$400.00 for the petition fee under 37 C.F.R. 1.17(f) is enclosed.

06/06/2006 NNGUYEN1 00000113 10050524

01 FC:1462

400.00 OP

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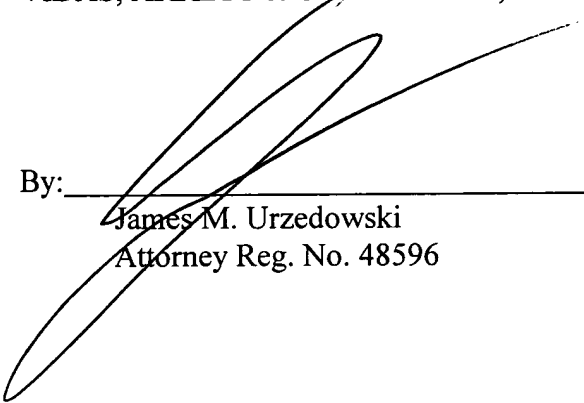
Application No.: 10/050,524
Filed: January 18, 2002
Page 2

*Petition for Correction of Assignee's Name on the
Face of the Issued Patent (37 CFR § 1.183)*

If any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

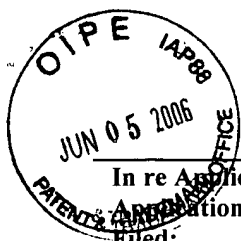
Respectfully submitted,
VIDAS, ARRETT & STEINKRAUS, P.A.

Date: June 2, 2006

By: 
James M. Urzedowski
Attorney Reg. No. 48596

Suite 2000
6109 Blue Circle Drive
Minnetonka, Minnesota 55343-9185
Telephone No: (952) 563-3000
Facsimile No: (952) 563-3001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gil M. Vardi and Charles J. Davidson
Application No.: 10/050524
Filed: January 18, 2002
For: Extendible Stent Apparatus and Method for Deploying the Same
Examiner: Paul Prebilic
Group Art Unit: 3738

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Docket No.: S63.2N-12020-US03

TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 1 page transmittal letter, we are submitting the attached:
2 page Petition for Correction of Assignee's Name on the Face of the Issued Patent (37 CFR § 1.183); \$400.00 check for Petition fee; 6 page copy of Recorded Assignment; 2 page Request for Certificate of Correction of Patent for Applicant's Mistake (37 CFR 1.322(a)); \$100.00 check for Petition fee; 1 page Certificate of Correction in duplicate and Postcard.
2. With respect to fees:
 - ☐ No additional fee is required.
 - ☒ Attached is check(s) in the amount of \$400.00 and \$100.00
 - ☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: June 2, 2006

By: _____

James M. Urzedowski
Registration No.: 48596

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001
f:\wpwork\jmu\12020us03_tra_20060602.doc

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop Petition, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on June 2, 2006.

Rebecca M. Painschab

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MAY 23 2001 200



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



101643553A

PTAS
TOWNSEND AND TOWNSEND AND CREW LLP
DARIN J. GIBBY
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO, CALIFORNIA 94111-3834

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/13/2001

REEL/FRAME: 011595/0683
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

VARDI, GIL M.

DOC DATE: 12/30/2000

ASSIGNOR:

DAVIDSON, CHARLES J.

DOC DATE: 11/04/2000

ASSIGNEE:

ADVANCED STENT TECHNOLOGIES, LLC
7026 KOLL CENTER PARKWAY
SUITE 201
PLEASANTON, CALIFORNIA 94566

SERIAL NUMBER: 08744002
PATENT NUMBER:

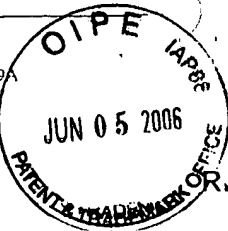
FILING DATE: 11/04/1996
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

COPY

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FORM PTO-1619A
Expires 06/30/99
OMR 0651-0027



03-22-2001



U.S. Department of Commerce
Patent and Trademark Office
PATENT

101643553

PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID #
☐ Correction of PTO Error
Reel # Frame #
Corrective Document
☐ Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Gil M. Vardi

Execution Date
Month Day Year
12 30 2000

Name (line 2)

Second Party

Name (line 1) Charles J. Davidson

Execution Date
Month Day Year
11 04 2000

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Advanced Stent Technologies, LLC

Name (line 2)

Address (line 1) 7026 Koll Center Parkway

Address (line 2) Suite 201

Address (line 3) Pleasanton

California

94566

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Darin J. Gibby

Address (line 1) Townsend and Townsend and Crew LLP

Address (line 2) Two Embarcadero Center

Address (line 3) 8th Floor

Address (line 4) San Francisco, California 94111-3834

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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03/21/2001 JIRLLN/E 00000007 201430 08744002

01 PG:561 40.00 CH

Correspondent Name and Address

Area Code and Telephone Number

(303) 571-4000

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

08/744,002

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application
Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

20-1430

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Darin J. Gibby

Name of Person Signing

Signature

Date

2/5/01

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ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Gil M. Vardi, of 333 E. Ontario, Apt. 1002-B, Chicago, Illinois, 60611, and Charles J. Davidson of 1311 Sunview Lane, Winnetka, Illinois 60093, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: EXTENDABLE STENT APPARATUS AND METHOD FOR
 DEPLOYING THE SAME

Date(s) of execution of Declaration: November 1, 1996

Filing Date: November 4, 1996

Application No.: 08/744,002; and

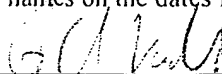
WHEREAS, Advanced Stent Technologies, LLC of 7026 Koll Center Parkway, Ste. 201, Pleasanton, CA 94566, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and further including PCT/US97/18201 and European Patent Application No. 0944366 (Application 97911649.8) and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 12/30/96



Gil M. Vardi

Dated: 11/4/00



Charles J. Davidson

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

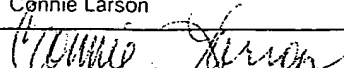
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/744,002
	Filing Date	November 4, 1996
	First Named Inventor	Gil M. Vardi
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	019601-000100US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Darin J. Gibby Reg. No. 38,464
Signature	
Date	February 5, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 5, 2001			
Typed or printed name	Connie Larson		
Signature		Date	February 5, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
DE 7032690 v1

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Receipt acknowledged by U.S. Patent & Trademark Office on the date stamped below:

In Re:

Application No.: 10/050,524 CON

Filing Date: 01/18/2002

Title: Extendible Stent Apparatus & Method for Deploying Same

1st Named Inventor: Vardi, Gil M.



- POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST (REVOCAION OF PRIOR POWERS) 2 Pages(s)
- ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION STATEMENT UNDER 37 C.F.R. section 3.73(b) 2 Pages(s)
- CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a) 1 Pages(s)
- ASSIGNMENT(S) 5 Pages(s)

Date Mailed: August 19, 2003

Express Mail No EL 97716 9705 45

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Gil M. Vardi and Charles J. Davidson
Issue No.:	6962602
Issue Date:	November 8, 2005
For:	Extendible Stent Apparatus and Method for Deploying the Same
Group Art Unit:	3738

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: S63.2N-12020-US03

**REQUEST FOR CERTIFICATE OF CORRECTION
OF PATENT FOR APPLICANT'S MISTAKE (37 CFR 1.322(a))**

1. It is noted that an error appears in this patent of a

- ☒ clerical
- ☐ typographical
- ☐ minor

nature or character as more fully described below and occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination and a certificate of correction is requested.

2. Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.

NOTE: Form PTO-1050, using the column and line number in the printed patent should be used exclusively regardless of the length or complexity of the subject matter. MPEP §1485.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. MPEP §1485.

3. The exact page and line number where the errors occur in the application file are:

Recorded Assignment document, recordation date February 13, 2001, Reel
011595, Frames 0683-0685.

NOTE: The information should be identified in this request, however on Form PTO-1050, only the column and the line number in the printed patent should be used. MPEP §1485.

01 FC:1811

100.00 OP

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4. Please send the Certificate to:

James M. Urzedowski
VIDAS, ARRETT & STEINKRAUS
Suite 2000
6109 Blue Circle Drive
Minnetonka, MN 55343-9185

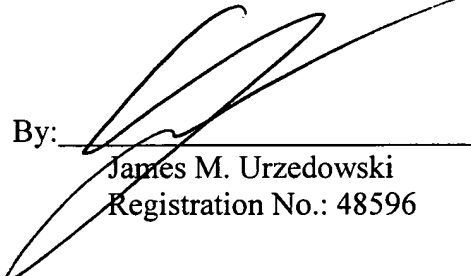
5. Please pay the fee of \$100.00 as required by 37 CFR 1.20(a) as follows:

- ☒ Enclosed is a check for \$100.00.
☐ Charge Deposit Account 22-0350 the sum of _____.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: June 2, 2006

By: 
James M. Urzedowski
Registration No.: 48596

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001
f:\wpwork\jmu\12020us03_req_20060522.doc

Type Name of Assignee: Advanced Stent Technologies, Inc.

Assignment Recorded on February 13, 2001

at Reel 011595, Frames 0683-0685.

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**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 6962602

DATED : November 8, 2005

INVENTORS : Gil M. Vardi and Charles J. Davidson

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the face of the patent please add:

Assignee: Advanced Stent Technologies, LLC, Pleasanton, CA (US)

MAILING ADDRESS OF SENDER:

James M. Urzedowski
Vidas, Arrett & Steinkraus
6109 Blue Circle Drive
Suite 2000
Minnetonka, MN 55343-9185

PATENT NO. 6962602

No. of additional copies: 0

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